

BROKER INFORMATION REQUIRED FOR MMX SYSTEMS REGISTRATION

BROKER DETAILS

Brokerage Name _____

Registration Number _____

Vat Number _____

Web Address _____

Contact Name _____

Telephone Number

Email Address _____

Cell Number

Physical Address _____

Postal Address _____

FAIS DETAILS:

Fsp Number _____

Categories _____

Compliance Officer / Company _____

Compliance Contact Number _____

Compliance Email _____

Contact Person _____

Compliance Physical Address _____

Compliance Postal Address _____

PROFESSIONAL INDEMNITY INSURANCE (PI)

Insurer _____

Policy Number _____

Renewal Date / / (MM/DD/YYYY)

Limit Of Indemnity _____

BANKING DETAILS:

Name Of Account _____

Type Of Account _____

Branch Code

Account Number

RULES:

No Of Days Allowed To Backdate	None
Unmet Rules	According To Policy
Auto Cancellation Due To Unpaid Premiums	Yes - According To Policy
Re-Rate Process	Yes

